

### Suspension List - Chief Executive (CE)

(Last update on 9th April 2009)

Company Name	Name of C.E.	Registration No.	Line(s) of Business Registered	Date of Suspension
Crown Asset Management Ltd.	Cousins Pauline Ellen	PIBA-0076-000448	Long Term (include LLT)	16 Dec 2008

### Suspension List - Technical Representative (TR)

(Last update on 23rd February 2009)

Company Name	Name of T.R.	Registration No.	Line(s) of Business Registered	Date of Suspension
ACT Plans Ltd.	Chan Sio Long (陳小龍)	PIBA-0252-002539	Long Term (include LLT)	07 Jun 2004
ACT Plans Ltd.	Kwok Chun Kit (郭俊傑)	PIBA-0252-002493	Long Term (exclude LLT)	07 Jun 2004
ACT Plans Ltd.	Poon Yuk Ying (潘玉瑩)	PIBA-0252-002499	Long Term (include LLT)	07 Jun 2004
ACT Plans Ltd.	Wong Sui Chu (黃瑞珠)	PIBA-0252-002805	Long Term (exclude LLT)	07 Jun 2004

ACT Plans Ltd.	Wong Wing Hei (黃穎希)	PIBA-0252-002534	Long Term (exclude LLT)	07 Jun 2004
Eager Insurance Brokers Ltd.	Yim Tsing Han, Cynthia (嚴靜嫻)	PIBA-0154-000816	Long Term (exclude LLT) & General	24 Jan 2005
High Gloria Consultants Ltd.	Chan Kwok Cheung (陳國昌)	PIBA-0278-002676	Long Term (exclude LLT)	02 Mar 2005
High Gloria Consultants Ltd.	Chan Man (陳敏)	PIBA-0278-002926	Long Term (exclude LLT)	02 Mar 2005
High Gloria Consultants Ltd.	Fung Yuen Fun (馮婉芬)	PIBA-0278-002605	Long Term (exclude LLT)	02 Mar 2005
High Gloria Consultants Ltd.	Leung Chung Shing (梁忠勝)	PIBA-0278-002571	Long Term (exclude LLT)	02 Mar 2005
High Gloria Consultants Ltd.	Yu Ming Chung (余明忠)	PIBA-0278-002927	Long Term (include LLT)	02 Mar 2005
Human Asia Insurance Brokers Ltd.	Chan Fung Shan Evelyn (陳鳳珊)	PIBA-0282-003068	Long Term (include LLT)	14 Nov 2005
Human Asia Insurance Brokers Ltd.	Chan Siu Lun (陳兆麟)	PIBA-0282-003094	Long Term (exclude LLT)	14 Nov 2005
Human Asia Insurance Brokers Ltd.	Fong Suk Cheung (方淑章)	PIBA-0282-002985	Long Term (exclude LLT)	14 Nov 2005
Human Asia Insurance Brokers Ltd.	Tang Yin Ling (鄧燕玲)	PIBA-0282-002861	Long Term (exclude LLT)	14 Nov 2005
Human Asia Insurance Brokers Ltd.	Wong Shiu Ming (黃兆明)	PIBA-0282-003366	Long Term (include LLT)	14 Nov 2005

Sanity Insurance Brokers Ltd.	Yam Yun Tong (任潤堂)	PIBA-0233-003453	General	02 Mar 2005
Shanghai Insurance Advisers Co. Ltd.	Au Hon Keung (區漢強)	PIBA-0157-002679	Long Term (exclude LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chan Po Ming (陳寶明)	PIBA-0157-000148	General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chan Shuk Han (陳淑嫻)	PIBA-0157-002998	General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chan Tsz Ying Yoki (陳芷盈)	PIBA-0157-002932	Long Term (exclude LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chang Kam Tim (鄭錦添)	PIBA-0157-002905	Long Term (include LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chang Wing Fu (張永富)	PIBA-0157-002785	Long Term (exclude LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Cheung Tsz Kin Jimmy (張子堅)	PIBA-0157-002093	Long Term (include LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Cheung Wing Yi (張穎儀)	PIBA-0157-002799	Long Term (exclude LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Cheung Yiu Fai (張耀輝)	PIBA-0157-002802	Long Term (exclude LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chow Pui Fong Katherine(周佩芳)	PIBA-0157-002466	Long Term (exclude LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Chu Shun Lin (朱順蓮)	PIBA-0157-002537	Long Term (include LLT) & General	15 May 2004

Shanghai Insurance Advisers Co. Ltd.	Chung Fanny Acdal	PIBA-0157-002813	Long Term (exclude LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Fan Chi Keung (范志強)	PIBA-0157-002847	Long Term (include LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Fok Kam Him (霍錦謙)	PIBA-0157-002374	Long Term (include LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Fung Kar Lok (馮嘉樂)	PIBA-0157-002412	Long Term (include LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Hau Ka Kui (侯家駒)	PIBA-0157-002495	Long Term (include LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Leung Ho Kei Alfred (梁浩基)	PIBA-0157-002635	Long Term (exclude LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Li Chi Kei(李治棋)	PIBA-0157-001011	Long Term (include LLT) & General	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Sham Yu Gregory (沈禹)	PIBA-0157-002574	Long Term (exclude LLT)	15 May 2004
Shanghai Insurance Advisers Co. Ltd.	Wu Oi Yi (胡靄怡)	PIBA-0157-002536	General	15 May 2004
Sino Cheer Ltd.	Au Yeung Yuk Lin (歐陽玉蓮)	PIBA-0171-002343	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chan Hiu Yan Venus (陳曉欣)	PIBA-0171-002234	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chan Hong Ching(陳匡正)	PIBA-0171-002225	Long Term (exclude LLT)	05 Jun 2004

Sino Cheer Ltd.	Chan Kai Tung (陳繼東)	PIBA-0171-002585	Long Term (include LLT)	05 Jun 2004
Sino Cheer Ltd.	Chan Pak Nam (陳栢楠)	PIBA-0171-002670	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chan Yiu Kwong (陳耀光)	PIBA-0171-002846	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chang Kit Ping (蔣潔冰)	PIBA-0171-002236	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chau Tak Cheong (周德昌)	PIBA-0171-002229	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chen Chak Chiu (陳澤潮)	PIBA-0171-002230	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chen Yao Chiung (陳瑤)	PIBA-0171-002162	Long Term (exclude LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Cheung Wai Sing (張為成)	PIBA-0171-002816	Long Term (include LLT)	05 Jun 2004
Sino Cheer Ltd.	Cheung Yui Kei Daniel (張銳基)	PIBA-0171-002564	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Chow Ho Yin (周浩然)	PIBA-0171-002671	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Fong Siu Fai (方少快)	PIBA-0171-002301	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Gan Wai Man (顏惠雯)	PIBA-0171-002817	Long Term (exclude LLT)	05 Jun 2004

Sino Cheer Ltd.	Ho Wai Keung (何偉強)	PIBA-0171-002106	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lai Cherk Hung (黎焯熊)	PIBA-0171-002161	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lai Ming Hei (黎明曦)	PIBA-0171-002619	Long Term (include LLT)	05 Jun 2004
Sino Cheer Ltd.	Lam Kit Ying Marie (林潔英)	PIBA-0171-002513	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lam Siu Mui (林少梅)	PIBA-0171-002615	Long Term (include LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Lau Po Sang Hutchison (劉寶燊)	PIBA-0171-002205	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lau Yin Tung (劉彥彤)	PIBA-0171-002891	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lau Yuet Lam (劉乙霖)	PIBA-0171-002542	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lee Che Kong Peter (李志剛)	PIBA-0171-002339	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lee Chi Chiu (李志釗)	PIBA-0171-002311	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lee Ma Wan Marvin (李馬雲)	PIBA-0171-002776	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lee Ping Fat (李丙發)	PIBA-0171-002346	Long Term (include LLT)	05 Jun 2004

Sino Cheer Ltd.	Lee Sze Chung (李斯聰)	PIBA-0171-002340	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lee Wing Yin (李永彥)	PIBA-0171-002688	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Leung Lai Har (梁麗霞)	PIBA-0171-002686	Long Term (include LLT)	05 Jun 2004
Sino Cheer Ltd.	Li Wing Yan (李穎欣)	PIBA-0171-002872	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Li Yin (黎茵)	PIBA-0171-002337	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lin Wai Sum (連偉森)	PIBA-0171-002312	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Lou Sun Yee, Barbara (樓申儀)	PIBA-0171-001327	Long Term (include LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Lui Ka Wing (呂家詠)	PIBA-0171-002144	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Man Kam Yuk (文金玉)	PIBA-0171-002877	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Man Kin Sun (文建新)	PIBA-0171-002052	Long Term (include LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Ng Po Kuen (伍寶娟)	PIBA-0171-002206	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Ng Wai Kuen (伍偉權)	PIBA-0171-002690	Long Term (exclude LLT)	05 Jun 2004

Sino Cheer Ltd.	Pun Yi Nok (潘依諾)	PIBA-0171-002097	Long Term (include LLT)	05 Jun 2004
Sino Cheer Ltd.	Siu Jane (蕭穎)	PIBA-0171-002344	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Szeto Ching Ching (司徒晶晶)	PIBA-0171-002876	Long Term (include LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Tam Lam Chi (譚琳之)	PIBA-0171-002228	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Tang Man Sze (鄧文詩)	PIBA-0171-002873	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Tong Sui Keung (唐穗強)	PIBA-0171-002881	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Wong Kar Man Longman (黃嘉文)	PIBA-0171-002235	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Wong Kwok Wing (黃國榮)	PIBA-0171-002414	Long Term (include LLT)	05 Jun 2004
Sino Cheer Ltd.	Wong Lam Sai (王林西)	PIBA-0171-002350	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Wong Siu Yu Edmond (黃兆禹)	PIBA-0171-002347	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Wong Tak Hung (黃德雄)	PIBA-0171-002297	Long Term (exclude LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Woo Tik Kuen Johnny (胡狄權)	PIBA-0171-002880	Long Term (exclude LLT)	05 Jun 2004

Sino Cheer Ltd.	Wu Cheuk Him (胡綽謙)	PIBA-0171-002296	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Wu Hau Chu Helena (胡巧珠)	PIBA-0171-002420	Long Term (exclude LLT) & General	05 Jun 2004
Sino Cheer Ltd.	Yeung Chiu Leung (楊昭亮)	PIBA-0171-002689	Long Term (exclude LLT)	05 Jun 2004
Sino Cheer Ltd.	Yeung Ying Yui (楊英裔)	PIBA-0171-002224	Long Term (exclude LLT)	05 Jun 2004
Uniton Ltd.	Li Wai (李煒)	PIBA-0255-001342	Long Term (exclude LLT)	05 Jul 2004
Uniton Ltd.	Yip Wing Hung (葉永鴻)	PIBA-0255-002395	Long Term (exclude LLT)	05 Jul 2004
Victor Consultants Ltd.	Chan Kit Lai (陳潔麗)	PIBA-0251-002600	Long Term (exclude LLT)	05 Jul 2004
Victor Consultants Ltd.	Cheung Hing (張鑫)	PIBA-0251-002422	Long Term (exclude LLT)	05 Jul 2004
Victor Consultants Ltd.	Lee Hung Kee (李鴻基)	PIBA-0251-001953	Long Term (exclude LLT)	05 Jul 2004
Victor Consultants Ltd.	Lo Ying Keung (盧英強)	PIBA-0251-002357	Long Term (include LLT)	05 Jul 2004
Victor Consultants Ltd.	Tam Bing Kuen Benny (譚秉權)	PIBA-0251-002077	Long Term (exclude LLT)	05 Jul 2004
Victor Consultants Ltd.	Tam Lai Wan (譚麗雲)	PIBA-0251-002519	Long Term (exclude LLT)	05 Jul 2004

Victor Consultants Ltd.	Tang Yun Kwan (鄧潤群)	PIBA-0251-002703	Long Term (exclude LLT)	05 Jul 2004
Victor Consultants Ltd.	Yeung Yuk Ching Wendy (楊玉清)	PIBA-0251-002105	Long Term (exclude LLT)	05 Jul 2004

## Suspension List (Ex-Technical Representative)

(This information was updated as at 29 July 2009)

No	Company Name	Name of T.R.	Registration No.	Disciplinary Action(s)
1	Convoy Financial Services Limited 康宏理財服務有限公司	So Chi Yin 蘇志賢	PIBA-0066-000837	The Disiplinary Committee decided to suspend Mr. So Chi Yin technical representative registration for 3 months from 25 July 2009 as he failed to comply with the Fundamental Principles relating to the test on fitness and propriety of an insurance broker stipulated with the Minimum Requirements for Insurance Broker of OCI, namely Utmost Good Faith, Due Care and Diligence and Information for Client.

## Suspension List (Chief Executive)

(This information was updated as at 28 April 2010)

No	Company Name	Name of C.E.	Registration No.	Disciplinary Action(s)
1	Crown Asset Management Limited	Pauline Ellen Cousins	PIBA-0076-000448	On 10 December 2009, Mrs. Cousins was prosecuted and convicted of four (4) counts of “Furnishing False information ” and sentenced to 21 months ’ imprisonment in District Court. As a result of Mrs. Cousins’ imprisonment, our investigation in respect of the complaints against Crown Asset and Mrs. Cousins is unavoidably hindered and has now come to a halt pending Mrs. Cousins’ release.